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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/993,192
Filing Date	November 14, 2001
First Named Inventor	Sangki Rhee, et al.
Group Art Unit	1635
Examiner Name	L. Nguyen
Attorney Docket Number	4220-116.1US

Total Number of Pages in This Submission

28

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)

(please identify below):

X Response to Notice to File Corrected App. Papers and Supp. Preliminary Amendment
X Req. Under 37 CFR Sec 1.821(e)
X Abstract
X Sequence Listing
X Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Brian L. Buckwalter for Mathews, Collins, Shepherd & McKay, P.A.

Signature

Brian L. Buckwalter

Date

February 11, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 11, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Sangki Rhee, *et al.*

: Group Art Unit: 1635

Appin. No.: 09/993,192

: Examiner: L. Nguyen

Filed: November 14, 2001

For: **HANSENULA POLYMORPHA MUTANTS AND PROCESS
FOR PREPARATION OF RECOMBINANT PROTEINS USING
THE SAME.**

Assistant Commissioner of Patents
Washington, D.C. 20231

SIR:

REQUEST UNDER 37 C.F.R. 1.821(e)

The paper copy of the Sequence Listing in filed with the Notice To File Corrected Application Papers is identical to the computer readable form of the Sequence Listing filed in application 09/674,615, filed 6/15/01. In accordance with 37 CFR 1.821(e), please use the only computer readable form filed in the parent as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application. A paper copy of the Sequence Listing is included in a separately filed response to the Notice To File Corrected Application Papers.

Respectfully submitted,
Mathews, Collins, Shepherd & McKay, P.A.

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February 11, 2002
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